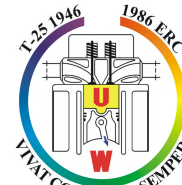


## Optical Sensing in Engines: Recent Progress and Future Goals



Engine Research Center  
Mechanical Engineering Department  
University of Wisconsin – Madison



Professor Scott T. Sanders

Students: Laura A. Kranendonk, Christopher L. Hagen, Andrew W. Caswell,  
Chun Lan, Renata J. Bartula, Thilo Kraetschmer

Primary sponsors: US Department of Energy, Honda, National Science Foundation

### Outline:

- ◆ Overview of optical diagnostics (see also ERC webpage: [www.erc.wisc.edu](http://www.erc.wisc.edu))
- ◆ *Spectral* engine analysis in the ERC
- ◆ Hyperspectral: what and why?
- ◆ Engine data: findings and future plans

## Optical Diagnostics in Engines: Research Landscape

- ◆ Optical diagnostics have played a key role in engine research for decades
- ◆ More than 10 years ago: many new diagnostic development efforts (among engine manufacturers, national laboratories, universities, small businesses, ...)
- ◆ In the last ~ 10 years:
  - reduced development of new diagnostics
  - emphasis on refining, applying proven diagnostics
- ◆ Today: many parties comfortable with standard diagnostics
  - high speed visualization
  - thermal emission / chemiluminescence spectroscopy
  - particle imaging velocimetry
  - laser Doppler anemometry
  - phase Doppler particle anemometry
  - Schlieren/shadowgraph imaging
  - laser induced fluorescence

*but there is less development effort*



## Comparison with Medical, Military Applications

- ◆ Increased optical developments in medical, military applications
- ◆ Example: at the May 2006 Conference on Lasers and Electro Optics (CLEO), ~ 20 new laser designs for medical applications were announced; at most 2 presenters even mentioned combustion as a possible application
- ◆ Larger funding in these markets has been attracting many researchers away from combustion
  
- ◆ However, as we know, combustion / engine / mobility / energy research is an important area
- ◆ New optical diagnostics can continue to play a very important role



## Diagnostics wish list: What would we like to measure?

- ◆ Composition of the gas phase (N species)
- ◆ Gas temperature
- ◆ Velocity (3 components)
  
- ◆ Also: composition and morphology of the solid phase (soot), etc.
  
- ◆ Goal: measure the above for all times and all points in space
- ◆ One application: compare with computational results, improve predictive capabilities



## All times and all points in space in an engine

- ◆ To resolve most phenomena of interest, we need
  - ◆ Spatial resolution  $\sim 30 \mu\text{m}$
  - ◆ Time resolution  $\sim 10 \mu\text{s}$
- ◆  $30 \mu\text{m}$  spatial resolution  $\rightarrow 10^{12}$  data points in the engine
- ◆  $10^{12}$  data points every  $10 \mu\text{s} \rightarrow 10^{17}$  data points per second
- ◆ Imagine we wish to measure just one value (temperature) with 8-bit precision at this rate  $\rightarrow 10^{18}$  bits/s: this is *about 10x the cumulative data flow for the entire planet!*
- ◆ Could transmit this data through the fibers shown here:  
but it would be too much to process



## Additional challenges

- ◆ That was only temperature, other parameters are desired
- ◆ The engine produces work, not temperature signals. To extract one accurate temperature at a single point, one could use coherent anti-Stokes Raman spectroscopy (CARS), for example. However:
  - ◆  $\sim 500$  *spectral* data points are required to infer the one parameter of interest, temperature
  - ◆ The CARS setup is challenging.
  - ◆ The 'point' may be larger than  $30 \mu\text{m}$ .



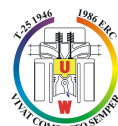
## Sprays: one example of even more challenges

- ◆ In a spray one may desire  $30\ \mu\text{m} \rightarrow 300\ \text{nm}$ ,  $10\ \mu\text{s} \rightarrow 300\ \text{ns}$
  
- ◆ It is clear that we cannot achieve the true goals.
- ◆ How should we proceed with optical diagnostics in engines?

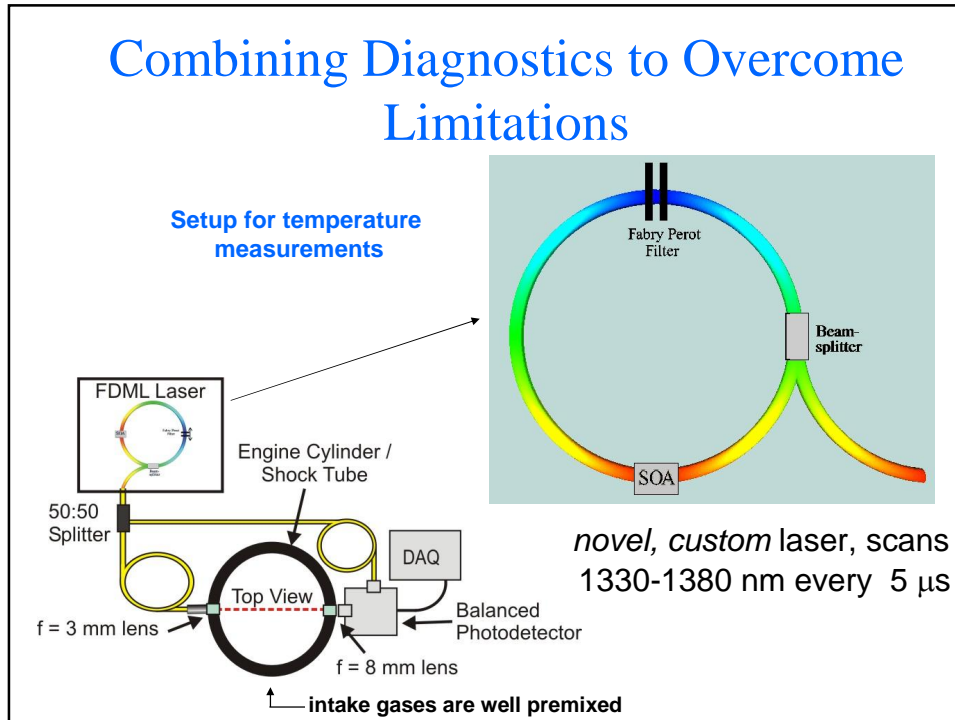


## The present

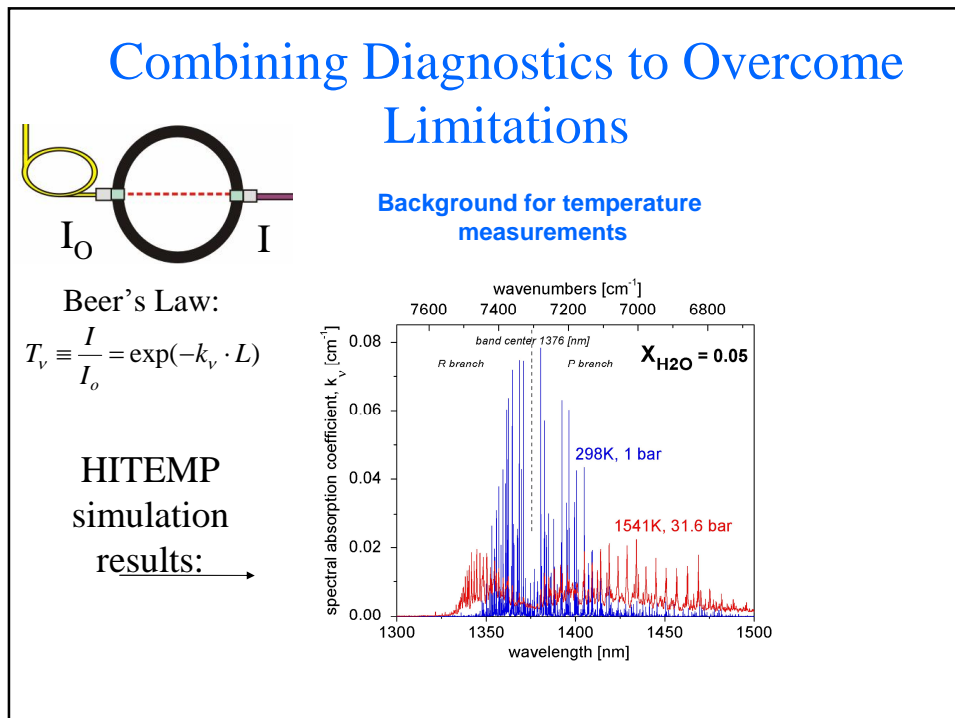
- ◆ Continue to make measurements of interesting phenomena at integral length scales
- ◆ Combine diagnostics to
  - ◆ Overcome limitations
  - ◆ Provide a more complete picture of the process
  - ◆ Tie results directly to combustion phenomena



## Combining Diagnostics to Overcome Limitations

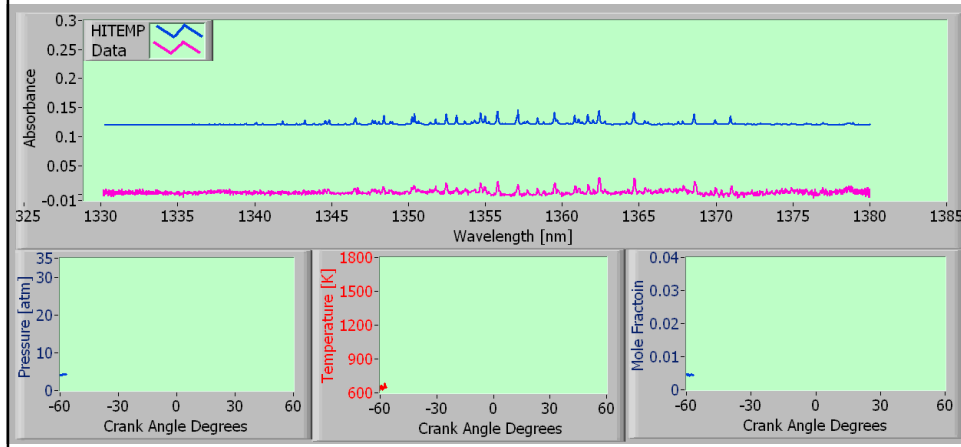


## Combining Diagnostics to Overcome Limitations



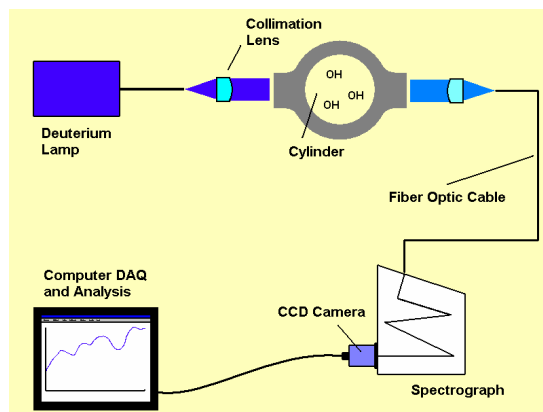
# Combining Diagnostics to Overcome Limitations

Example record for one engine operating condition  
(50-cycle average, temperature and mole fraction inferred from spectra)



# Combining Diagnostics to Overcome Limitations

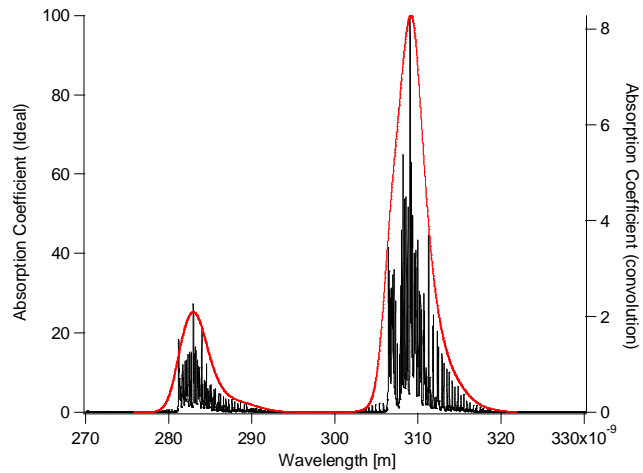
Setup for OH absorption measurements



Experiment performed under Prof. Jaal Ghandhi

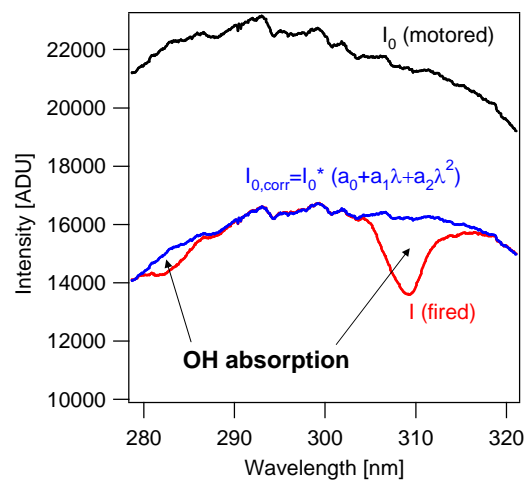
## Combining Diagnostics to Overcome Limitations

Expected absorption signature



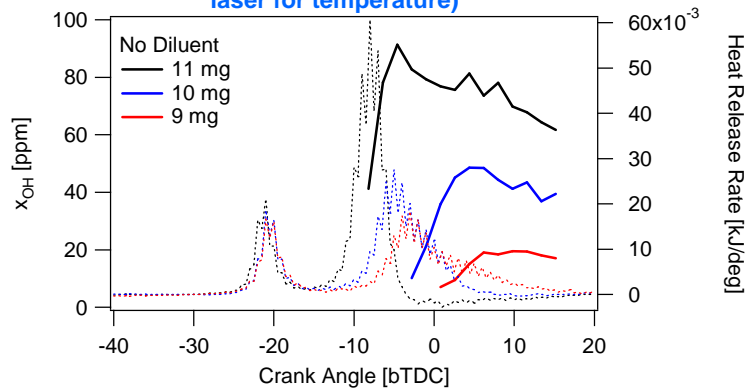
## Combining Diagnostics to Overcome Limitations

Raw data recorded in the engine (UV spectrometer)



## Combining Diagnostics to Overcome Limitations

Results  
(UV spectrometer +  
wavelength-agile  
laser for temperature)



### The present

- ◆ Continue to make measurements of interesting phenomena at integral length scales
- ◆ Combine diagnostics to
  - ◆ Overcome limitations
  - ◆ Provide a more complete picture of the process
  - ◆ Tie results directly to combustion phenomena





## The future

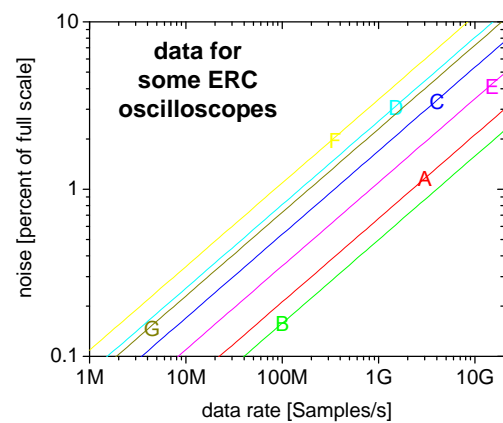
- ◆ RESOLUTION
  - ◆ Spatial
  - ◆ Temporal
  - ◆ Spectral
  - ◆ Signal to noise ratio



## Tradeoffs

### ◆ RESOLUTION

- ◆ Spatial
- ◆ Temporal →
- ◆ Spectral
- ◆ Signal to noise ratio →



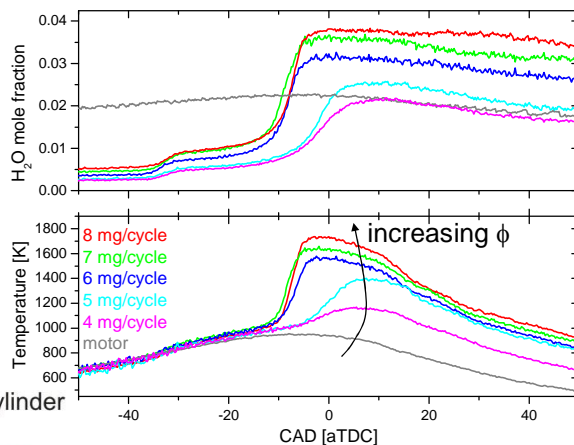
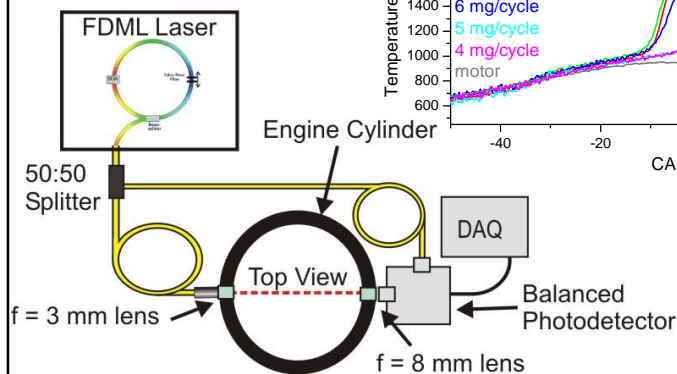
## Spectral Engine Analysis

### ◆ RESOLUTION

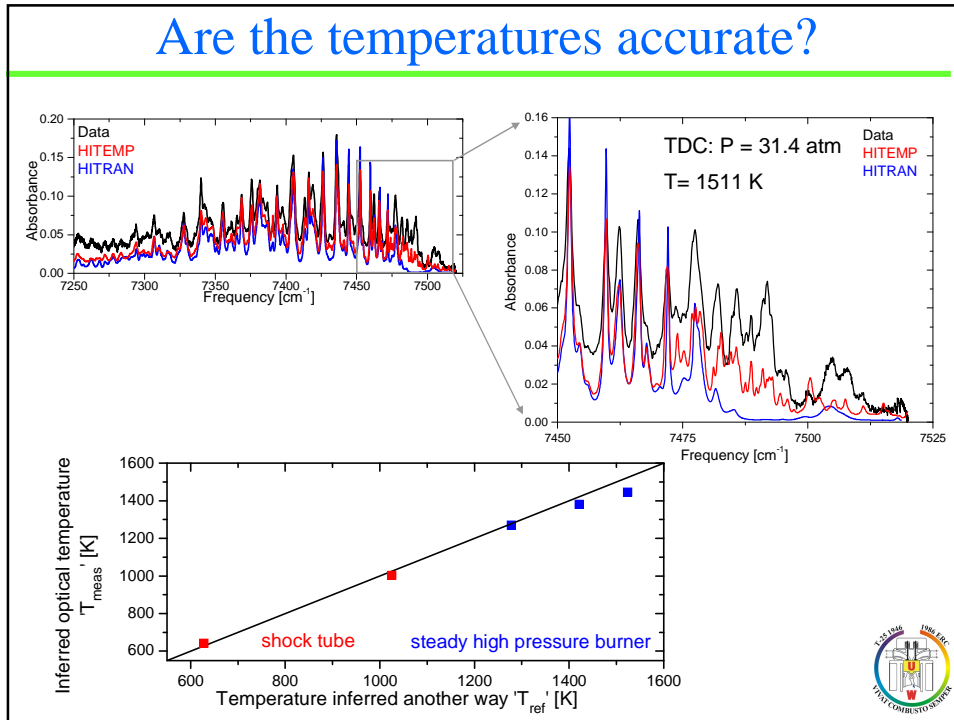
- ◆ Spatial
  - ◆ Temporal
  - ◆ Spectral
  - ◆ Signal to noise ratio
- 
- ◆ Focus on spectra: different than most combustion diagnostics
  - ◆ Spectra provide possibility of accurate, quantitative measurements
  - ◆ Combined spatial / spectral resolution is a key **future** goal (example: we have designed a laser that scans 300-320 nm at arbitrary speeds for **fluorescence** measurements)

## Typical experimental schematic & results

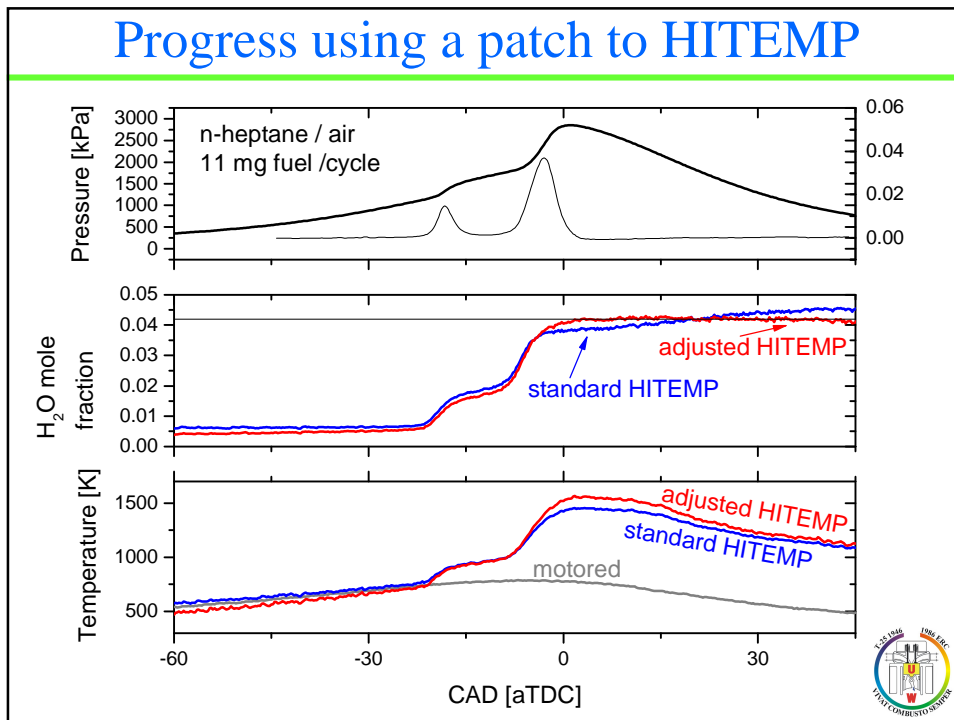
- ◆ Focus on spectra: different than many combustion diagnostics
- ◆ Path-integrated, in-cylinder measurements
- ◆ Provides species mole fractions and gas properties



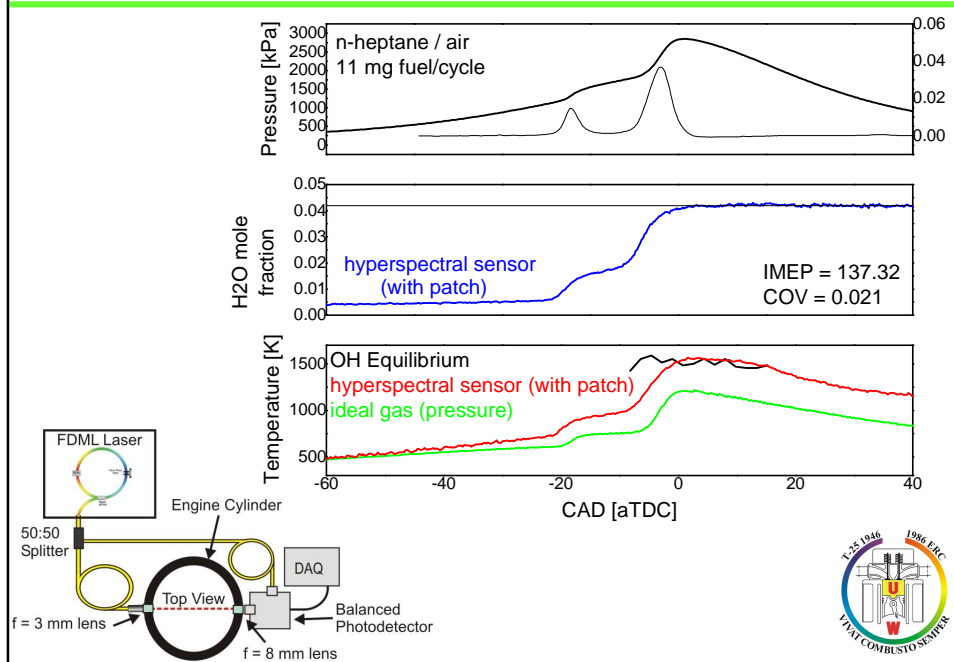
## Are the temperatures accurate?



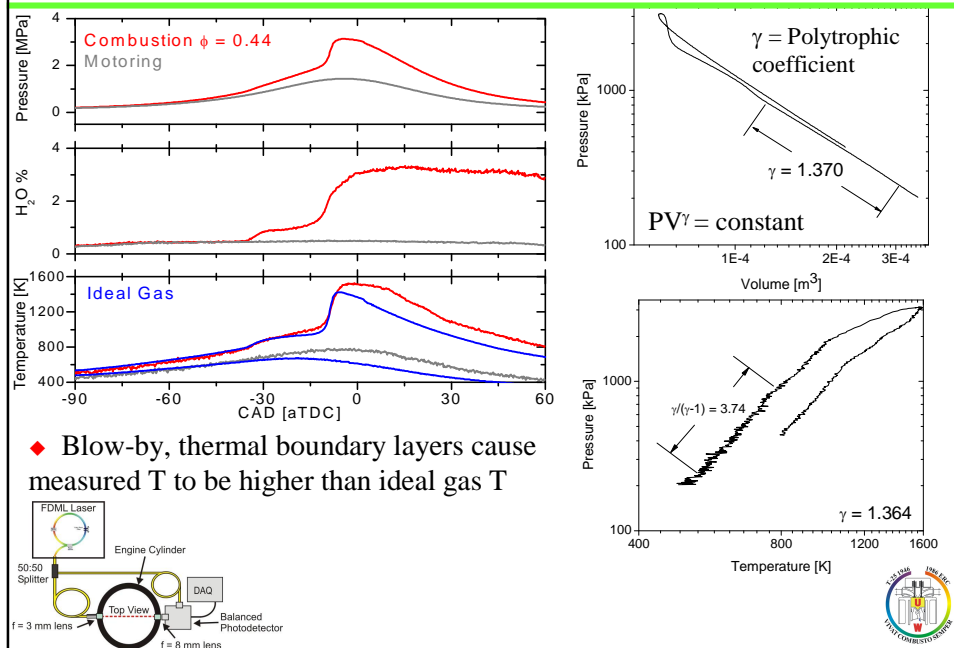
## Progress using a patch to HITEMP



## Are the temperatures accurate?

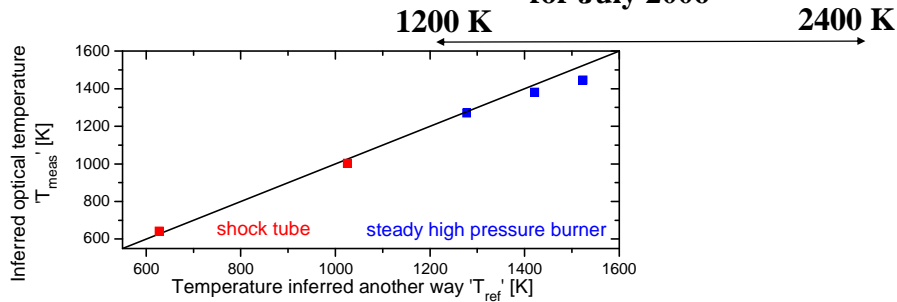


## Additional experimental comparisons



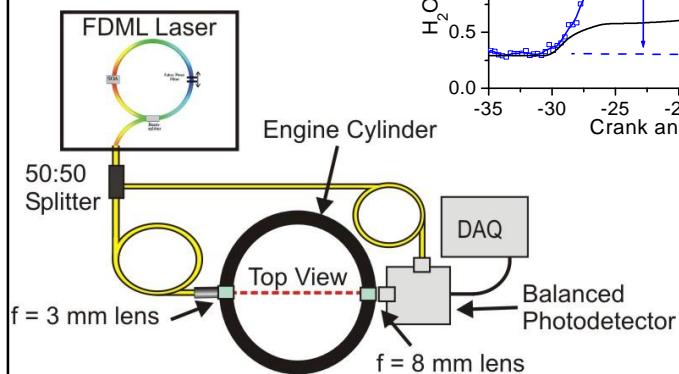
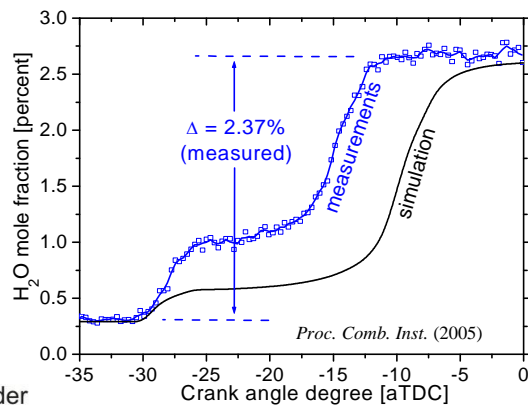
## Plan for further shock tube tests

More shock tube experiments planned for July 2006

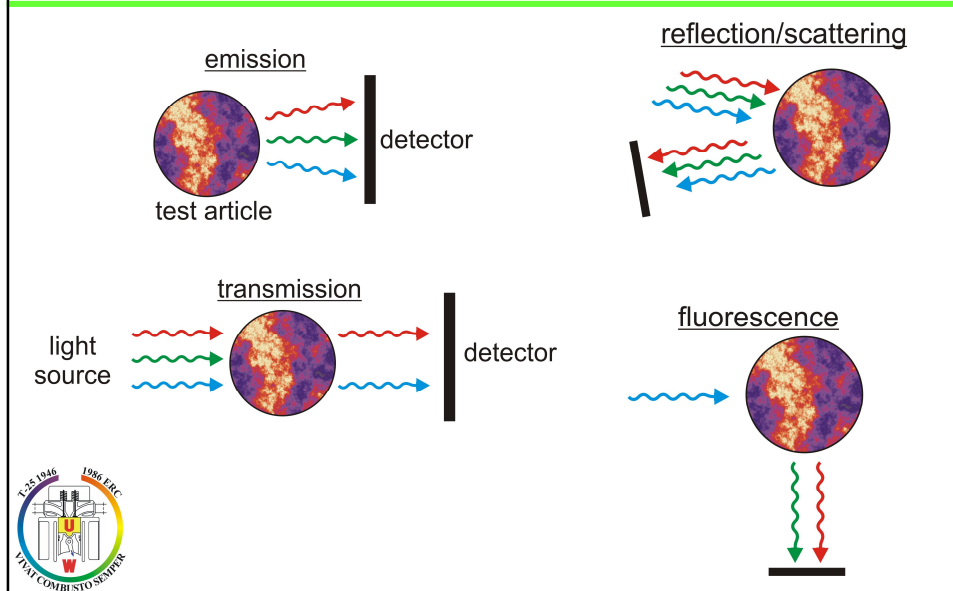


## Plans for species measurements

- ◆ Focus on spectra: different than many combustion diagnostics
- ◆ Path-integrated, in-cylinder measurements
- ◆ Provides species mole fractions and gas properties



## Introduction to Hyperspectral Sensing: Generic Spectroscopy Arrangements



## Hyperspectral = 'too many spectra'

### Broad spectral coverage ( $> 200 \text{ cm}^{-1}$ ):

- ♦ multiple species with a single source
- ♦ ability to monitor broad spectral features (heavy or high-pressure gases, supercritical fluids, liquids, solids, etc.)
- ♦ reality checks (are you really measuring what you think you're measuring?)

### High-resolution ( $< 1 \text{ cm}^{-1}$ ):

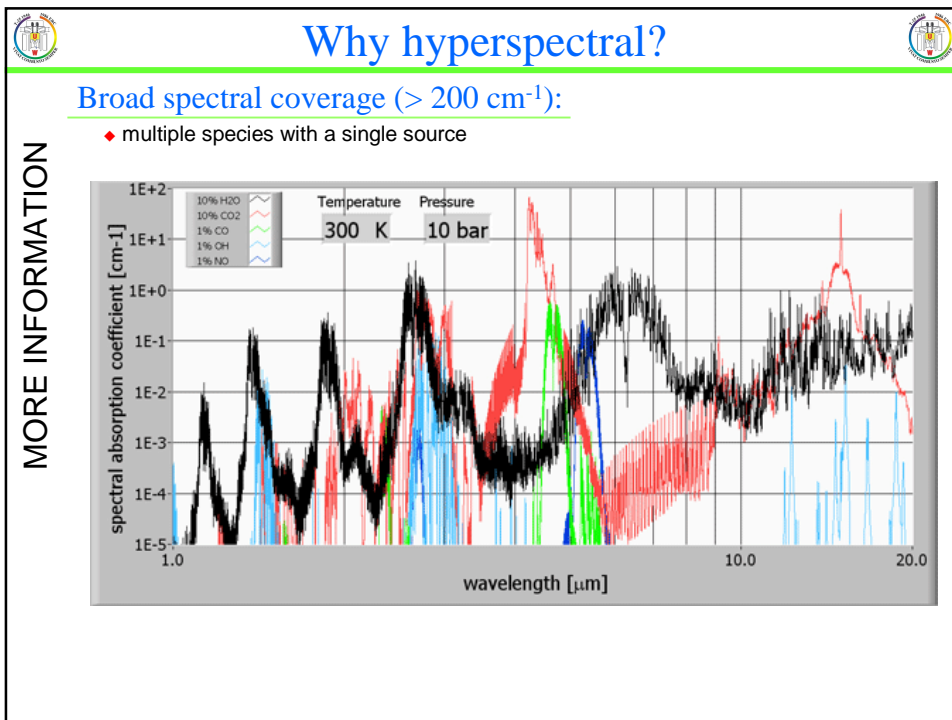
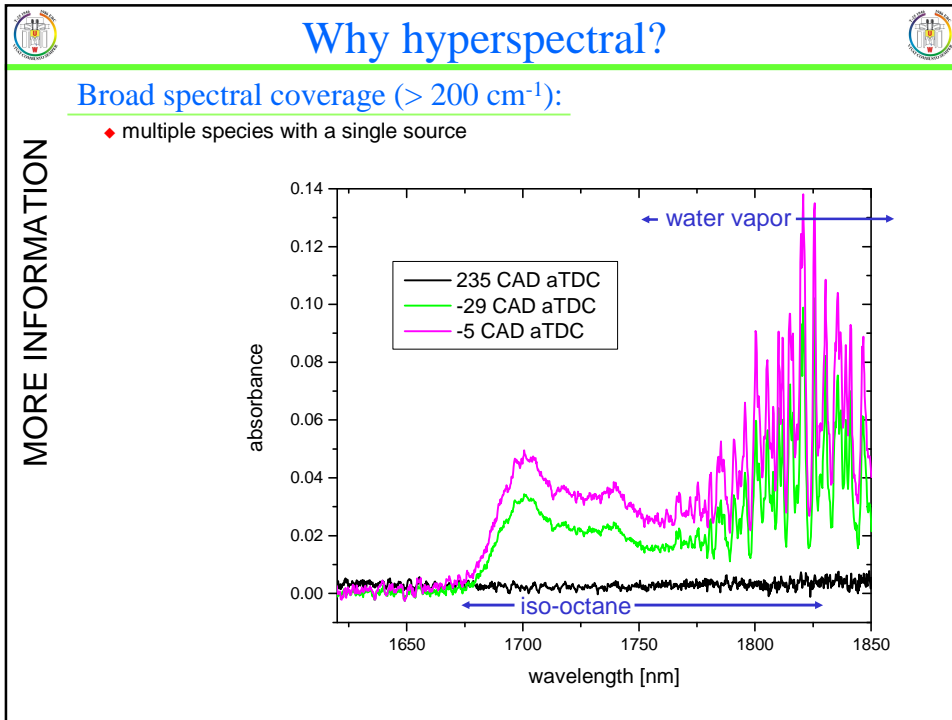
- ♦ higher SNR in gas spectroscopy
- ♦ discrimination of multiple species

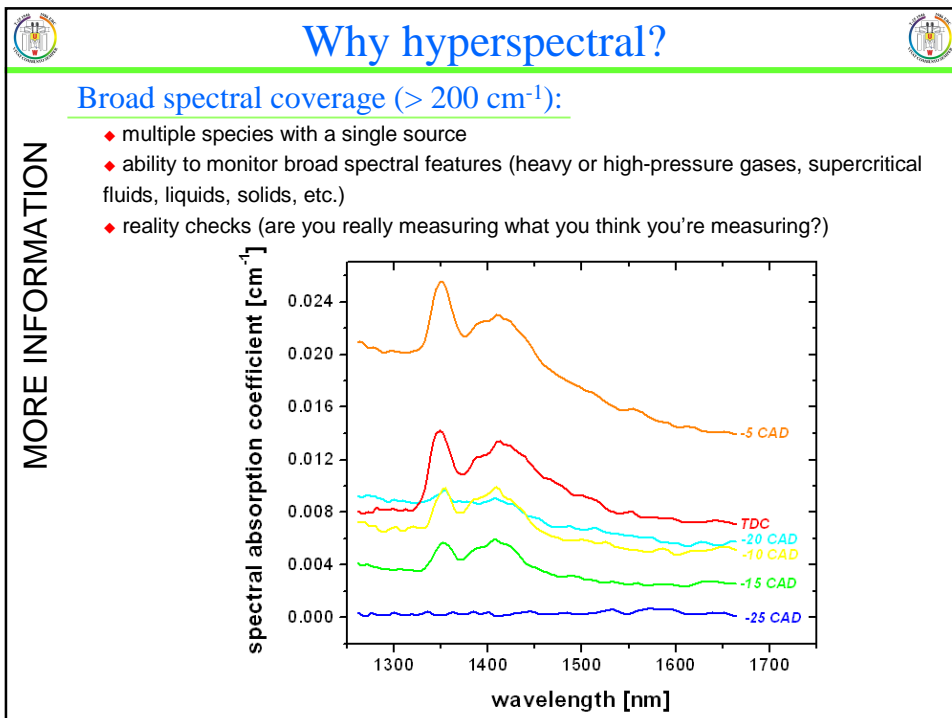
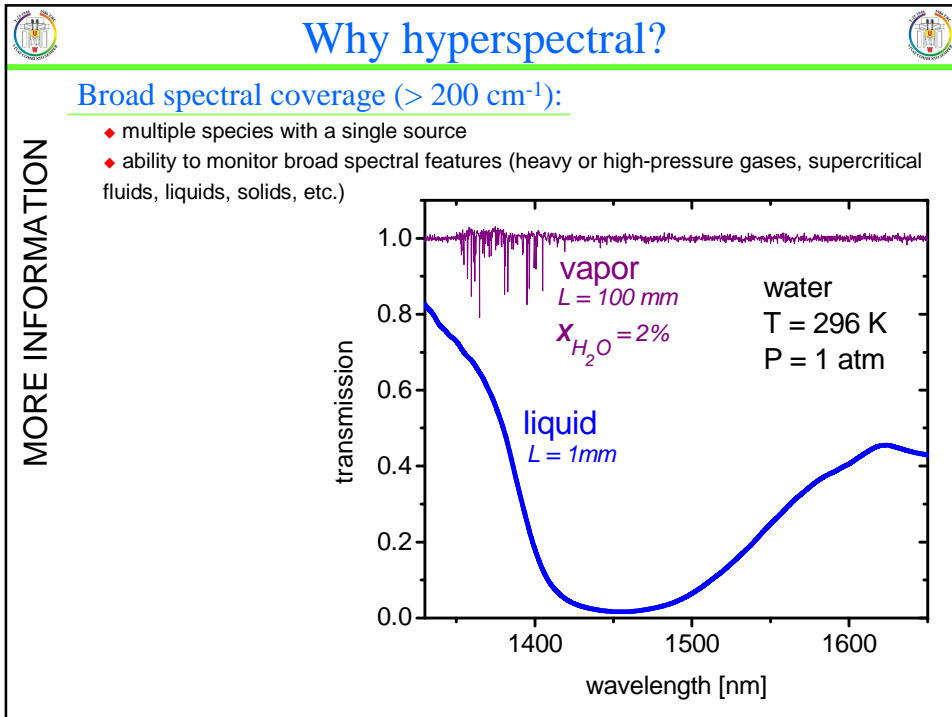
### High-speed ( $> 1$ spectrum every $50 \mu\text{s}$ ):

- ♦ Immunity to 'slow' noise sources: vibration, beamsteering, etc.
- ♦ Compatibility with transient experiments (explosions, shock tubes, pulsed magnetic fields, video-rate OCT, etc.)


MORE INFORMATION

HIGHER INFO RATE










## Why hyperspectral?



---

MORE INFORMATION

HIGHER INFO RATE

Broad spectral coverage ( $> 200 \text{ cm}^{-1}$ ):


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## Why hyperspectral?



---

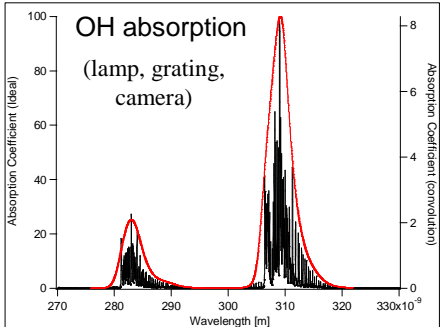
MORE INFORMATION

Broad spectral coverage ( $> 200 \text{ cm}^{-1}$ ):

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- ◆ reality checks (are you really measuring what you think you're measuring?)



High-resolution ( $< 1 \text{ cm}^{-1}$ ):

- ◆ higher SNR in gas spectroscopy



OH absorption  
(lamp, grating, camera)

## Why hyperspectral?

MORE INFORMATION

**Broad spectral coverage ( $> 200 \text{ cm}^{-1}$ ):**

- ◆ multiple species with a single source
- ◆ ability to monitor broad spectral features (heavy or high-pressure gases, supercritical fluids, liquids, solids, etc.)
- ◆ reality checks (are you really measuring what you think you're measuring?)

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- ◆ higher SNR in gas spectroscopy
- ◆ discrimination of multiple species

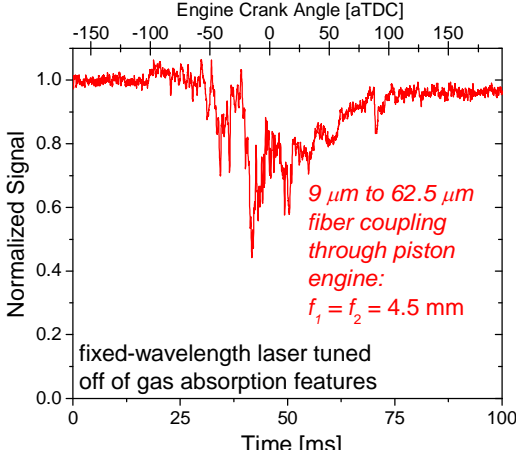
HIGHER INFO RATE

**High-speed ( $> 1$  spectrum every  $50 \mu\text{s}$ ):**

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## Why hyperspectral?





HIGHER INFO RATE

**High-speed ( $> 1$  spectrum every  $50 \mu\text{s}$ ):**

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## Why hyperspectral?

MORE INFORMATION

Broad spectral coverage ( $> 200 \text{ cm}^{-1}$ ):

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

- ◆ higher SNR in gas spectroscopy
- ◆ discrimination of multiple species

HIGHER INFO RATE

High-speed ( $> 1$  spectrum every  $50 \mu\text{s}$ ):

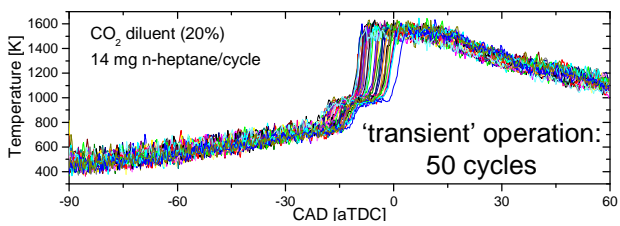
- ◆ Immunity to 'slow' noise sources: vibration, beamsteering, etc.
- ◆ Compatibility with transient experiments (explosions, shock tubes, pulsed magnetic fields, video-rate OCT, etc.)

## Reduced capabilities can suffice in some cases

to study transient engine behavior...

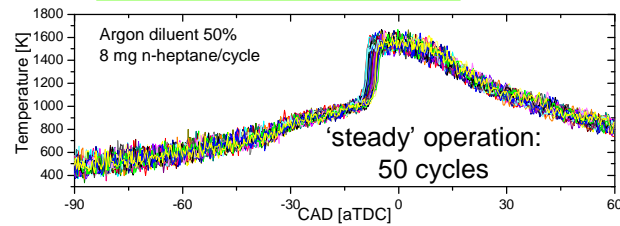
Full hyperspectral




... full hyperspectral capabilities are required.

but in a repeatable experiment, cycle-by-cycle averaging may be appropriate...

Reduced hyperspectral



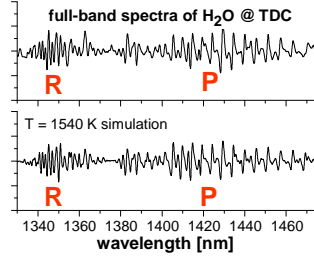
... broad, high-resolution (but not high-speed) sensors may suffice



## Reduced capabilities can suffice in some cases

### Full hyperspectral:

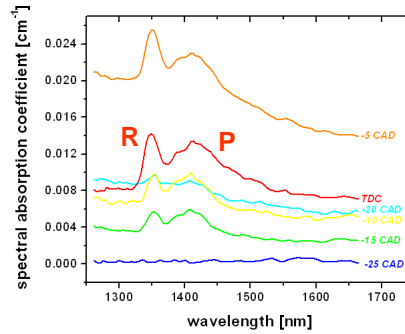
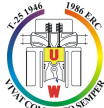
- ◆ Results from a sensor with ~ 0.2 nm resolution



'Excellent' temperature accuracy

### Reduced hyperspectral:

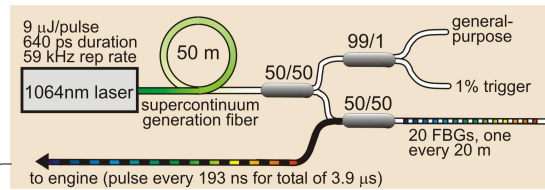
- ◆ Results from a sensor with ~ 5 nm resolution:



'Sufficient' temperature accuracy

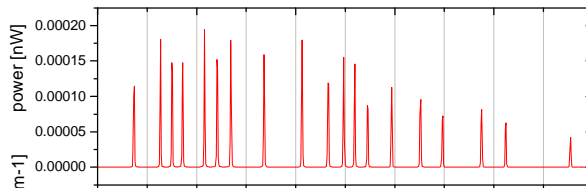
reduced DAQ requirements

## Reduced capabilities can suffice in some cases

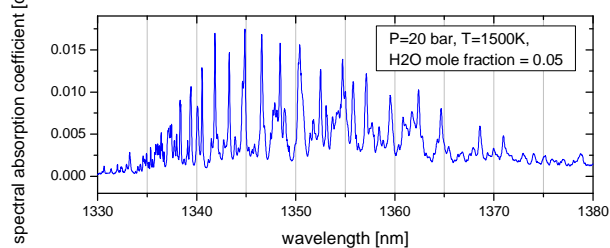


- ◆ this sensor can provide real-time engine temperatures

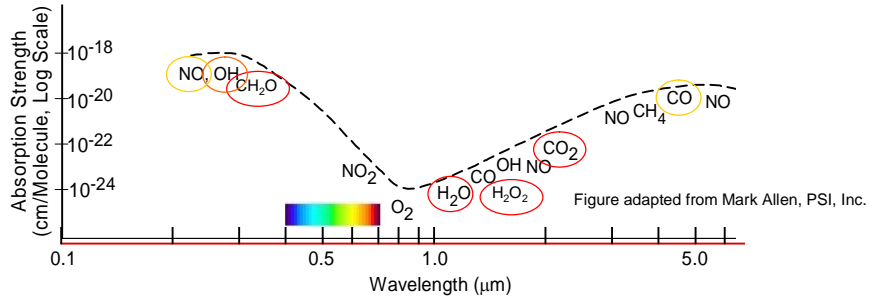
color burst as a function of wavelength:



wavelengths chosen to align with absorption features of H<sub>2</sub>O:



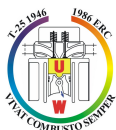
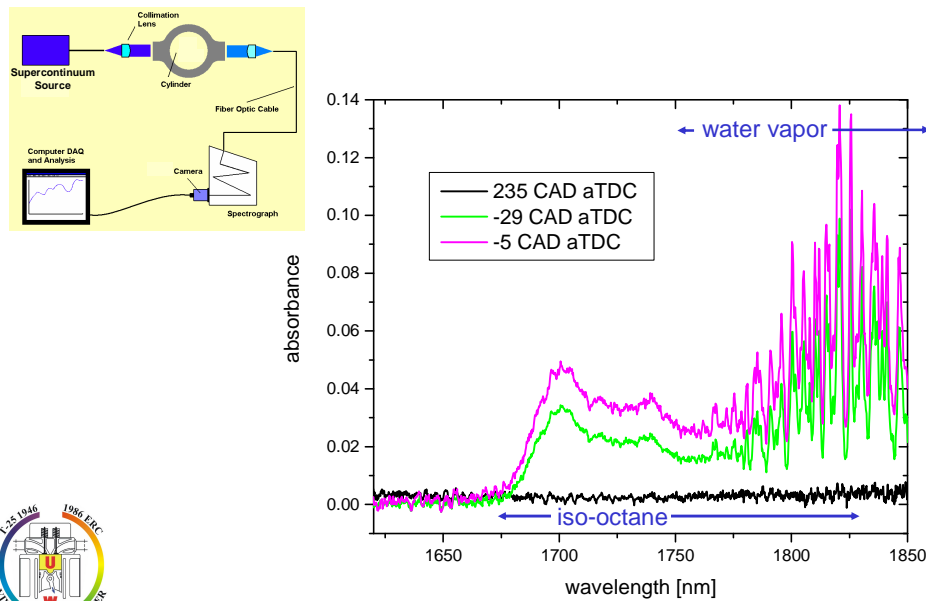
## More species: extending beyond the 1 $\mu\text{m}$ range



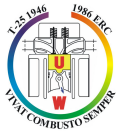
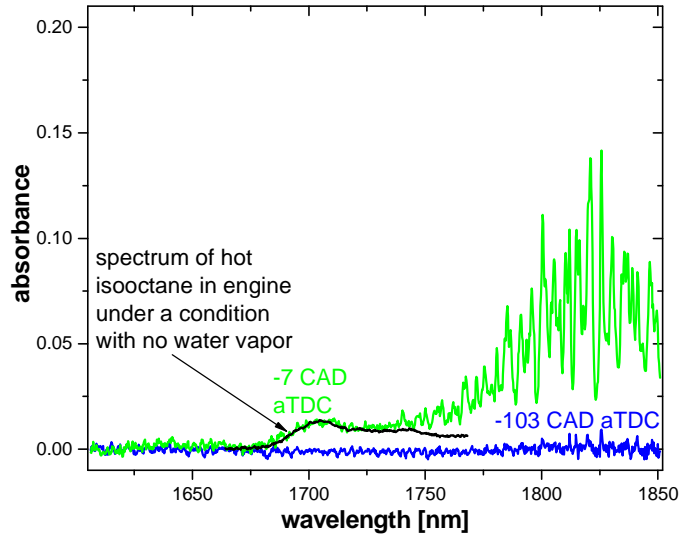
- ◆ Many important combustion species could be measured using methods similar to the ones presented here
- ◆ Shorter and longer wavelength sources need to be developed to take advantage of this technology
- ◆ Extremely broadband light could potentially measure all of the above species in one measurement.



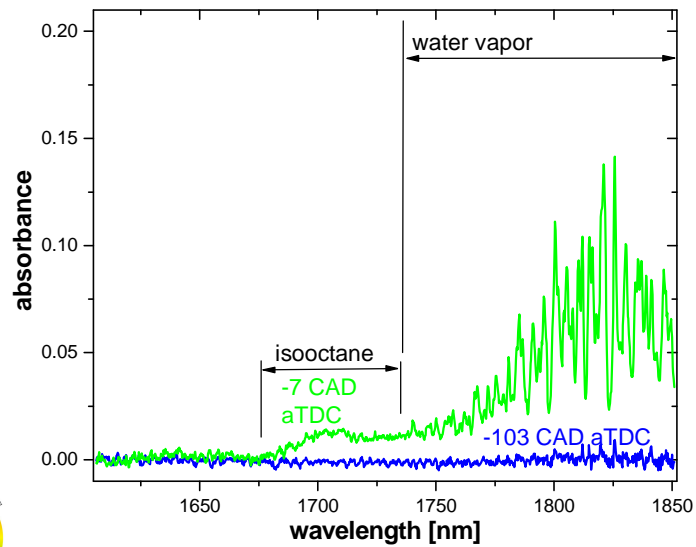
## Initial measurements in the 1800 nm range



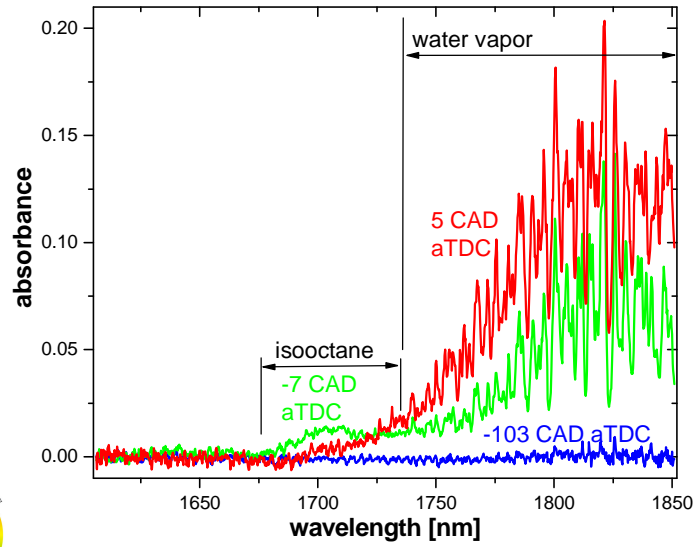
## Initial measurements in the 1800 nm range



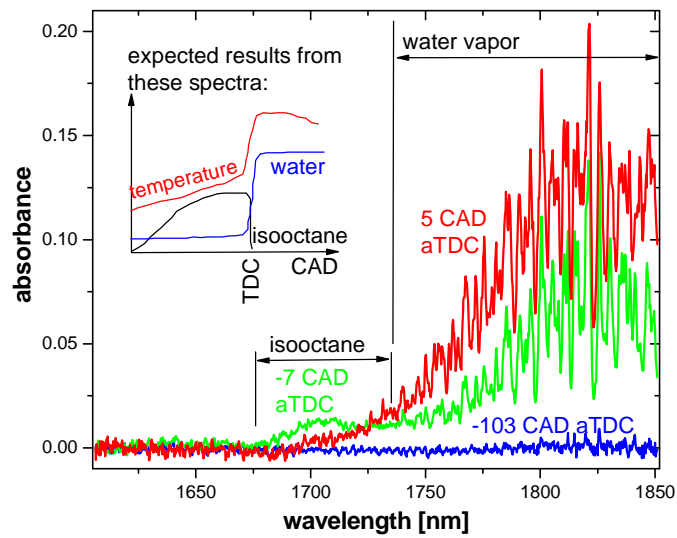
## Initial measurements in the 1800 nm range



## Initial measurements in the 1800 nm range



## Initial measurements in the 1800 nm range



## Summary

- ◆ Optical diagnostics in engines: a long history
- ◆ There is still much room for growth in this field
- ◆ Diagnostics will continue to be important to the engine community
- ◆ Spectral engine analysis is an uncommon but promising direction

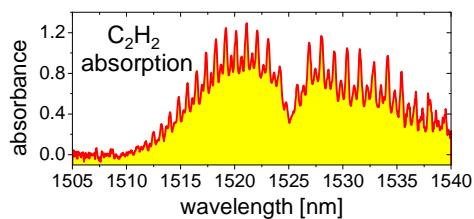
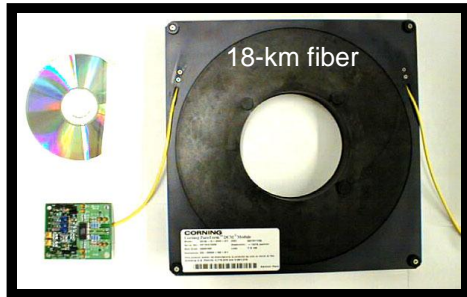


## Appendix A: hyperspectral source designs





## Hyperspectral photonics: current research landscape



drawbacks: 4, 6, 7, 9

These sources share the following **advantages**:

1. Inexpensive, for lasers (<\$25k)
2. Wavelength = a consistent f(time)

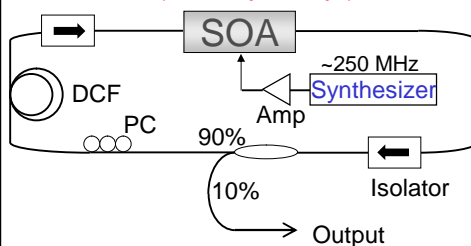
But all suffer from one or more of the following **drawbacks**:

1. Moving parts
2. One scan direction superior to other
3. Unreliable at rapid sweep speeds
4. Wavelength sweeps generally too fast
5. Undesirable polarization effects
6. Unsuitable outside of telcom bands
7. Sweep rates constrained
8. Spectral resolution unstable
9. Spectral resolution = f(wavelength)

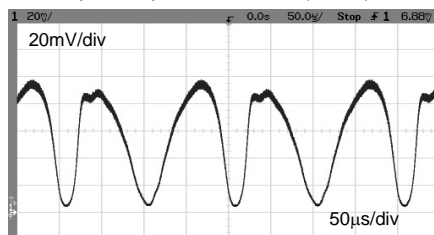


## Hyperspectral photonics: current research landscape

*Yamashita et. al., CLEO 2006  
(University of Tokyo)*



Output temporal waveform (5 kHz)



drawbacks: 2, 3, 7, 9

These sources share the following **advantages**:

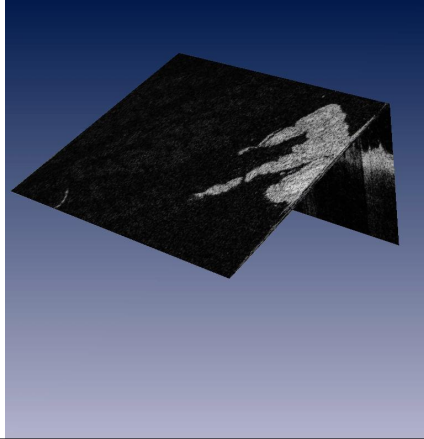
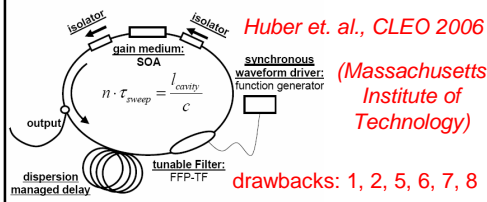
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# Hyperspectral photonics: current research landscape



These sources share the following **advantages**:

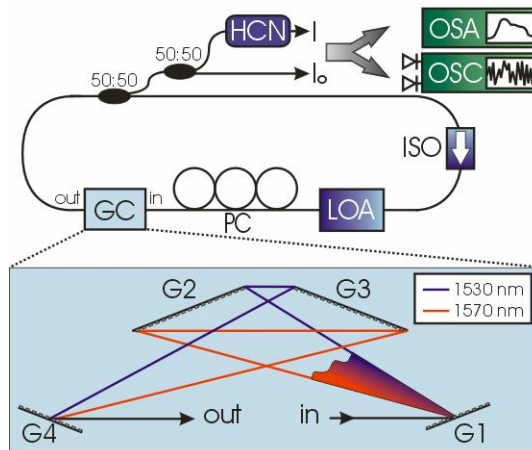
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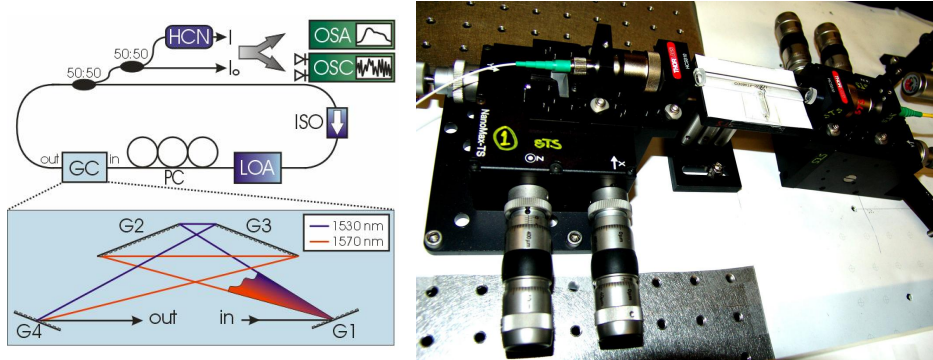
# Fourier laser: in principle, no drawbacks!



- ◆ Alternative to swept wavelength source: all colors always on, each modulated at a unique frequency ('Fourier source')



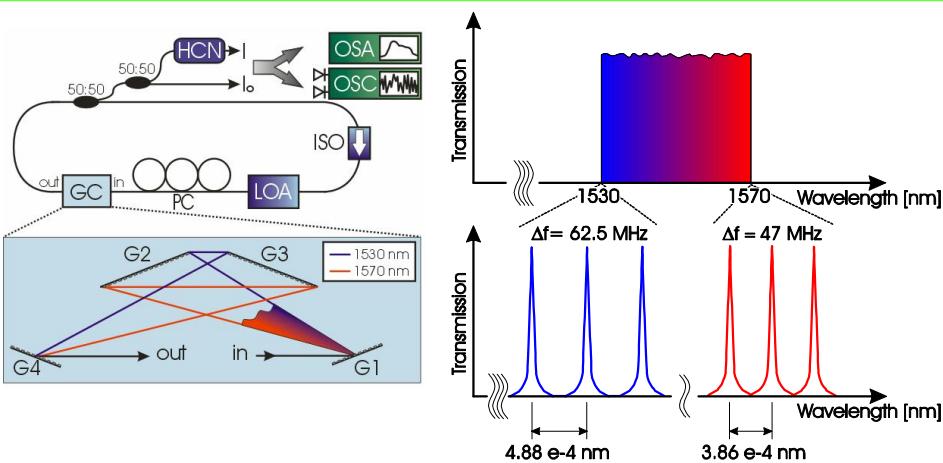
## Fourier laser: in principle, no drawbacks!



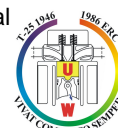
- ◆ Hydrogen cyanide (HCN) used as test absorber: simple spectrum near 1550 nm



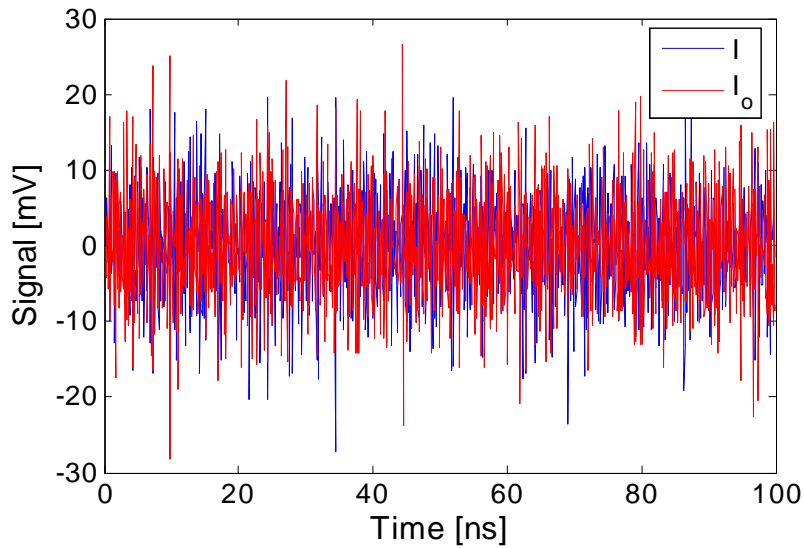
## Fourier laser: in principle, no drawbacks!



- ◆ Laser modes arranged so that the natural beating converts native optical frequencies to measurable frequencies
- ◆ Called “CW comb Fourier transform spectroscopy” (CW cFTS)



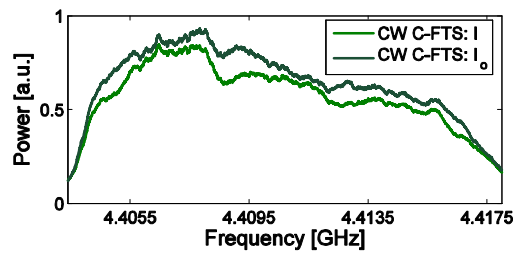
## Raw time trace from a Fourier laser



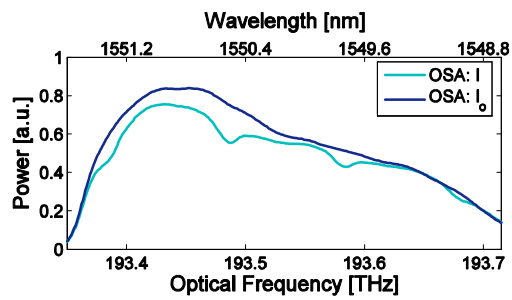
♦ not as useless as it looks!



## Reduced data



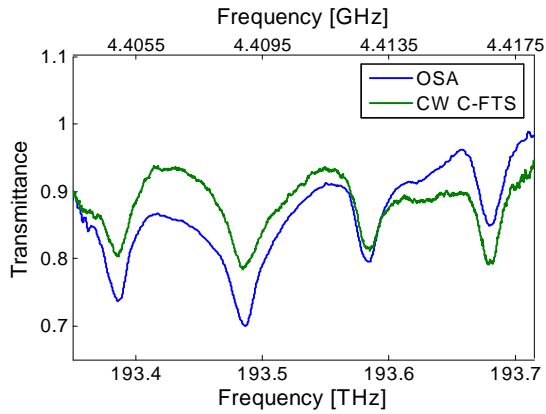
fast Fourier transform  
of time traces...



... agree with  
spectrometer data



## Comparison of spectra



**Optical Spectrum Analyzer:**

Measurement Time: 750 ms

Spectral Resolution: 0.06 nm

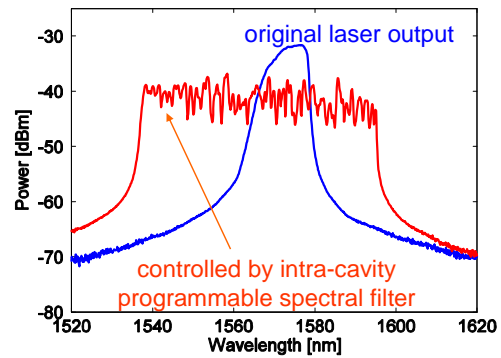
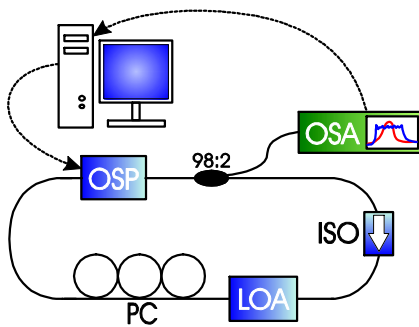
**CW c FTS:**

Measurement Time: 1 ms,  
will be reduced with  
improved source design

- ◆ absorption features due to HCN
- ◆ require broader spectral coverage ~ 50 nm for H<sub>2</sub>O measurements



## Spectral coverage increased using intra-cavity spectral filter



- ◆ plan to shift this sensor to 1350 nm range, apply in engines by December 2006
- ◆ based on this concept, we have also designed (proposals in review):
  - ◆ 1W source covering 300...320 nm for point measurements of [OH], [CH<sub>2</sub>O], T by laser induced fluorescence
  - ◆ 100 mW source covering 300...2000 nm for hyperspectral transient analysis by absorption spectroscopy



## Appendix B: extras



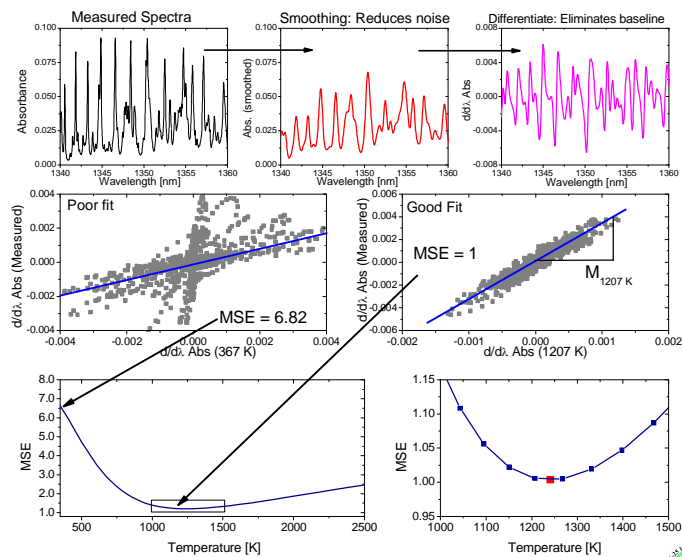
## Temperature Calculations from Spectra

Absorbance =  

$$k_{\lambda}L = -\ln\left(\frac{I}{I_0}\right)$$

Pressure from transducer is used to pick spectra from database (HITEMP)

Mean squared error = MSE



## Emission Spectroscopy Example: Gas Turbine Combustor Test Rig



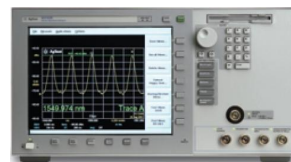
What is the best instrument for simply monitoring the spectrum of the emitted light?

Wright-Patterson  
Air Force Base, OH

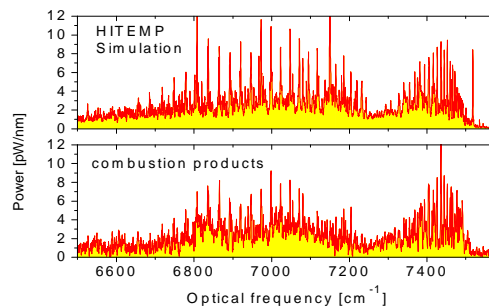
## Commercial instruments for emission monitoring



FTIR: (Thermo Electron)

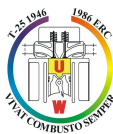


OSA: (Agilent)

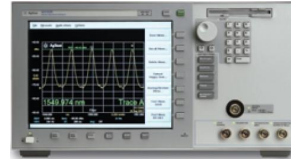


Drawbacks associated with moving parts:

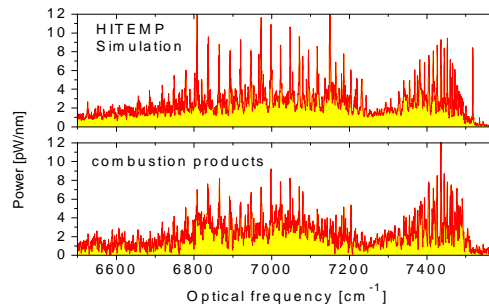
- ◆ expensive (> 20k)
- ◆ slow (~ 1 s per spectrum)
- ◆ rugged?



## Commercial instruments for emission monitoring

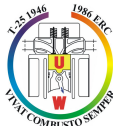


OSA: (Agilent)



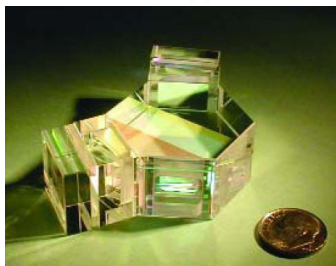
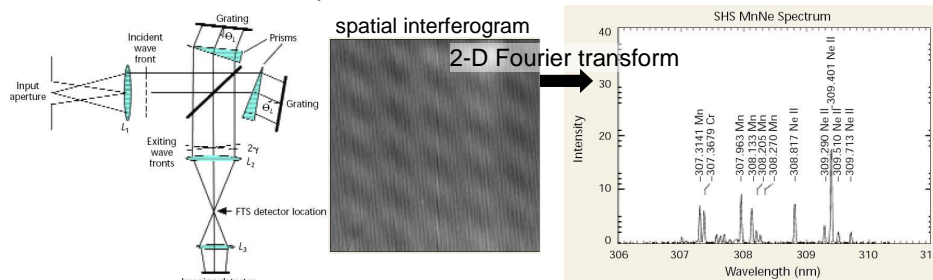
Even without moving parts, still drawbacks:

- ◆ grating spectrometers: low resolution, low throughput, or large



## An attractive emission spectrometer: SHS (spatial heterodyne spectrometer)

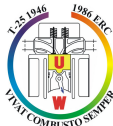
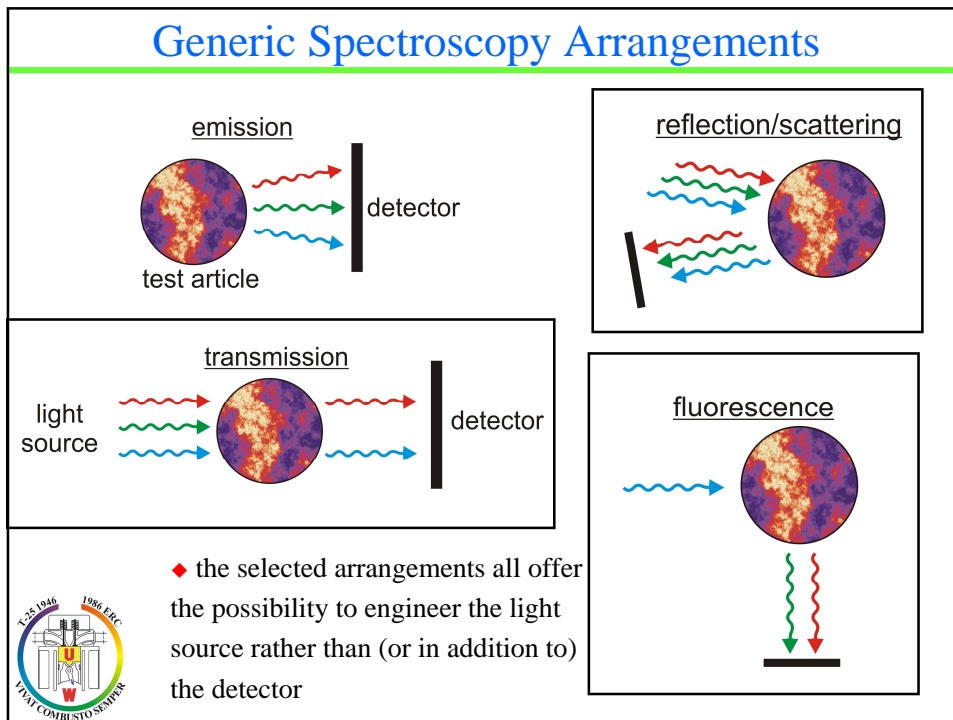
Harlander et. al., Optics and Photonics News, Jan 2004



- ◆ grating-based FTS: no moving parts!
- ◆ offer broad coverage, >> 306-311 nm, maintaining spectral resolution << 1 cm<sup>-1</sup>
- ◆ Prof. Sanders' opinion: about the best option for high-resolution hyperspectral analysis of 'ordinary' light
- ◆ now applying SHS in combustion



## Generic Spectroscopy Arrangements



## Why engineer the light source? (since you could just use a light bulb and a SHS detector)

### 1. Efficient at high-resolution

- ◆ Spectral resolution decoupled from collection etendue. Examples: in fluorescence, collect  $> 1$  Sr from a 1-mm emitter and still maintain  $< 1$  GHz spectral resolution in an excitation scan; likewise in absorption, beamsteering does not compromise spectral resolution

### 2. Simple, rugged, compact, all-fiber...

- ◆ Hyperspectral lasers more readily multiplexed than hyperspectral detectors, e.g. for multi-beam tomography, multi-channel sensors to cover ultra-broad spectral ranges

### 3. Compatible with simple detectors

- ◆ Not paced by camera technology (limited readout rates, usually optimized for visible range, etc.)

### 4. Can complement spectrometers

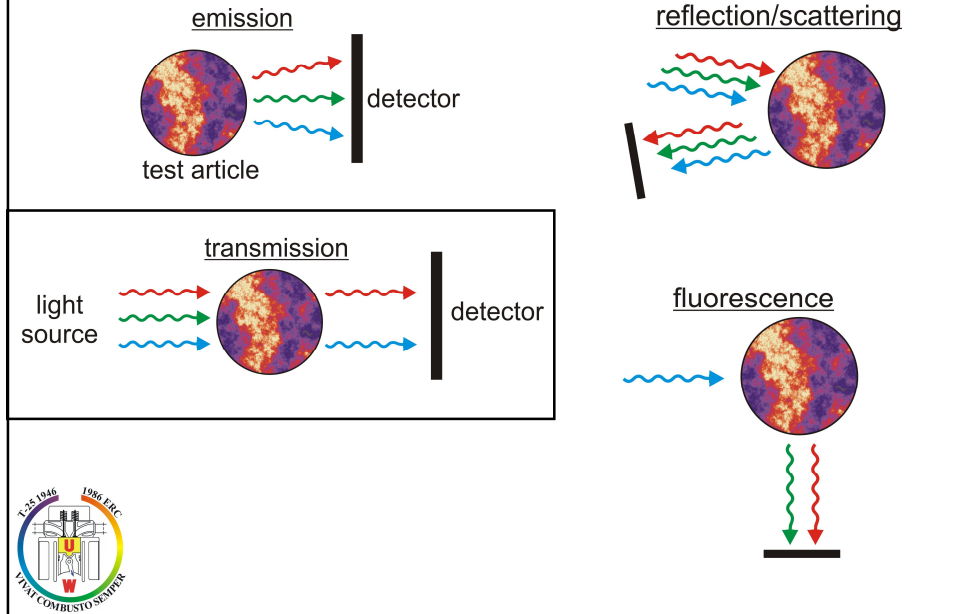
- ◆ Ultimately, may want to combine hyperspectral light sources and detectors (e.g., for combined excitation-emission fluorescence spectroscopy)

### 5. Light can be ordered, thus eliminating thermal beating

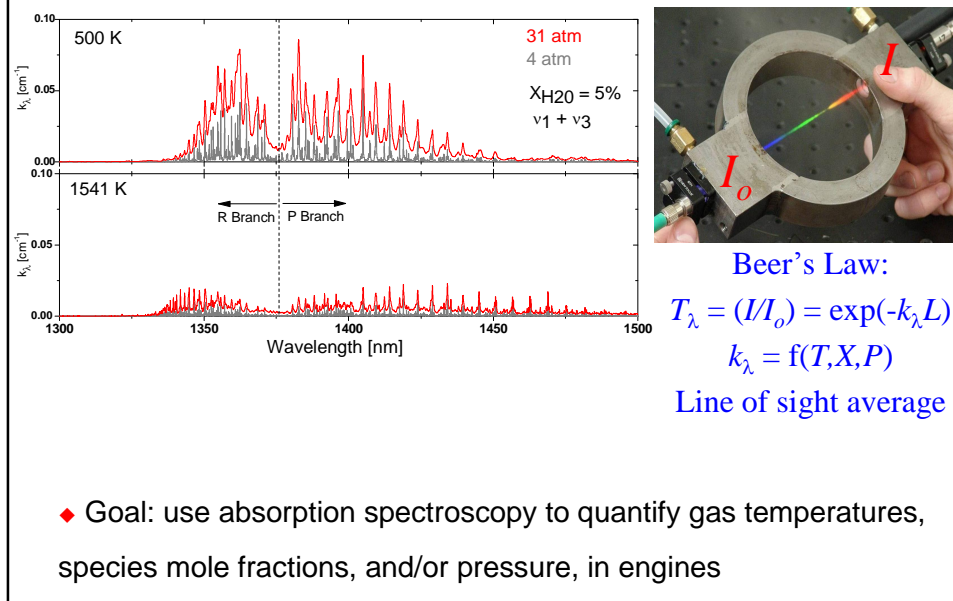
- ◆ For  $5 \mu\text{s}$ -duration measurement at  $1 \text{ cm}^{-1}$  resolution,  $\text{noise}_{\text{pk-pk}} = 1.3\%$



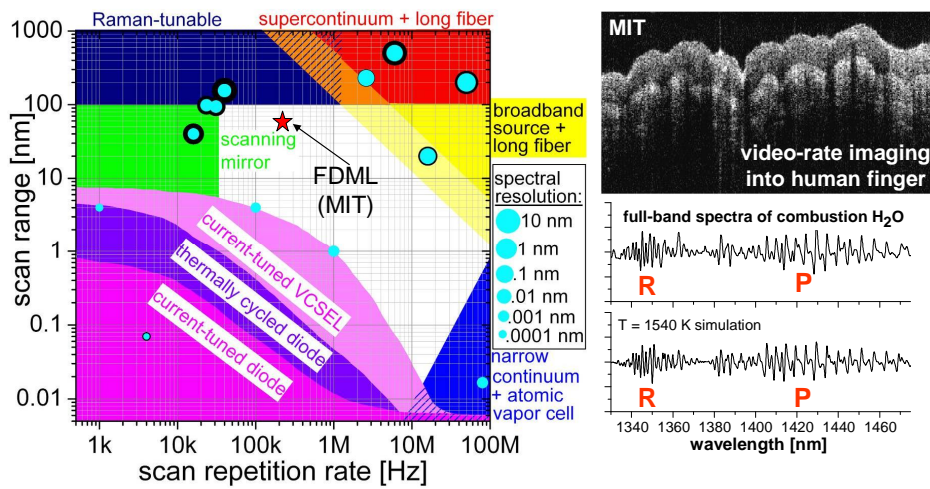
## Generic Spectroscopy Arrangements



## Engine Measurements by Absorption Spectroscopy



# Laser engineering: wavelength-agile lasers



- ◆ Many laser systems developed; resulting sensors allow continuous spectral monitoring in dynamic environments